

# Application for Additional Investment and Features Form

Please complete this form in black ink using **BLOCK** letters. All pages of this form must be returned to us. Section 1 and 10 must be completed.

## 1. Member details (must be completed)

member number	<input type="text"/>
member name	<input type="text"/>
contact number*	<input type="text"/>
email address	<input type="text"/>

\* If you provide your contact number we will update our records accordingly. If you are updating your mobile number, we will also require certified identification.

By providing my email address, I agree to receive any information about my investment (such as transaction confirmations, statements, reports and other materials or notifications required by the Corporations Act) electronically. This may include email notifications advising me when new information regarding my investment is available for viewing online, via hyperlink or via Perpetual Member Portal. I acknowledge you may still need to send me information by mail from time to time.

## 2. Features

Indicate which optional features you would like applied to your account.

<b>BPAY</b> (additional contributions)	yes (default) <input type="checkbox"/>	no <input type="checkbox"/>
<b>Auto-rebalancing</b> If you are investing in Perpetual Geared Australian Share investment option you must tick 'Yes' to the auto-rebalancing feature and complete the 'investment strategy' column in the following section.	yes <input type="checkbox"/> no <input type="checkbox"/>	quarterly (default) <input type="checkbox"/> half-yearly <input type="checkbox"/> yearly <input type="checkbox"/>
<b>Nomination of beneficiary</b> If you would like to nominate a beneficiary to receive your benefit on death complete the 'Nomination of beneficiary' form.	yes <input type="checkbox"/>	no <input type="checkbox"/>
<b>Adviser online access</b> Note: your financial adviser can access information about your account online (and may extend to their authorised delegates the same level of online access you have determined for your adviser)	view & transact (default) <input type="checkbox"/>	view only <input type="checkbox"/>
<b>Investment information to be sent in the mail</b> Note: most of your investment information is also available online through Perpetual Member Portal.	online only (default) <input type="checkbox"/>	online and post <input type="checkbox"/>
<b>Annual report to be sent in the mail</b> Note: the annual report is also available at <a href="http://www.perpetual.com.au">www.perpetual.com.au</a>	no (default) <input type="checkbox"/>	yes <input type="checkbox"/>
<b>Marketing material</b> If you would like to receive investment education material and be informed about our products, services and offers.	yes (default) <input type="checkbox"/>	no <input type="checkbox"/>

- For each optional feature you have elected, please ensure you have read and understood the relevant section in the WealthFocus Super Plan Product Disclosure Statement (PDS) for that feature.
- If you have nominated an optional feature above, please ensure you fill out the relevant columns in the table in the following section.

### 3. Payment details

How will the additional contribution be made? NOTE: Cash is not accepted.

we will debit your bank account nominated in 'Bank account details' section. I acknowledge and accept the terms and conditions of direct debit as explained in the direct debit request service agreement which is available from [www.perpetual.com.au/wealthfocus-super-updates](http://www.perpetual.com.au/wealthfocus-super-updates).

rollover make sure you complete the 'Transfer authority' form

BPAY for making additional personal or spouse contributions. we'll provide you with a Customer Reference Number (CRN)

#### Source of funds being invested (tick most relevant option)

retirement savings
  employment income
  sale of assets

inheritance/gift
  financial investments
  other

### 4. Contribution/rollover details

Please indicate below the amount of your additional contribution and/or rollover (please ensure that your previous superannuation provider has been instructed to rollover your funds).

**Contribution limits:** Please refer to the PDS for information about contribution limits. You should speak to your financial adviser about these limits when considering your situation. Contributions made in excess of the limits will attract additional tax.

#### One-off contributions

Contribution type	Amount	Further details
personal contribution	\$	If you are eligible and intend to claim a tax deduction on these contributions you will also need to complete the 'Tax deduction for personal contribution' section.
spouse contribution	\$	
BPAY	\$	for making additional personal or spouse contributions. we'll provide you with a Customer Reference Number (CRN)
downsizer contribution	\$	You will also need to complete a 'Downsizer contribution into superannuation' form (available from the ATO).
CGT contribution	\$	You will also need to include a completed 'Capital gains tax cap election' form (available from the ATO).
personal injury payment	\$	You will also need to include a completed 'Contributions for personal injury election' form (available from the ATO).
COVID-19 re-contribution	\$	You will also need to include a completed 'Notice of re-contribution of COVID-19 early release amounts' form (available from the ATO).
<b>Total</b>	<b>\$</b>	

#### Rollovers

If you are transferring from another super fund please complete relevant details below. You will also need to complete the 'Transfer authority' form for each rollover being requested.

Name of the previous institution	Policy /Account number	Approximate amount
		\$
		\$
		\$
		\$
		\$
<b>Total</b>		<b>\$</b>

## 4. Contribution/rollover details (continued)

### Savings plan

Only complete this section if you would like to establish a savings plan to make regular contributions from a nominated bank account. You will also need to nominate a bank account in the 'Bank account details' section, from which contributions will be deducted under the savings plan.

<b>Contribution type</b> If you are eligible and intend to claim a tax deduction on any personal contributions you will need to submit a 'Notice of intent to claim or vary a deduction for personal super contributions' form.	personal <input type="checkbox"/> spouse <input type="checkbox"/>
<b>Amount (no minimum)</b>	\$ <input type="text"/>
<b>Frequency</b>	monthly (default) <input type="checkbox"/> quarterly <input type="checkbox"/>

## 5. Tax deduction for personal one-off contributions

Please refer to the PDS for information about your eligibility to claim a tax deduction for your personal contributions.

I am eligible and intend to claim a tax deduction for my personal contributions of: \$

This is your notice to us, to be effective from the later of the date of this application or the date that you become a member of the Super Plan, of the amount you intend to claim as a tax deduction in relation to Section 290-170 of the Income Tax Assessment Act 1997. We will deduct 15% contributions tax from this amount. This notice will be applicable for the current financial year unless you notify us in writing of your intention to vary this notice. We will send you an acknowledgement of the amount you wish to claim as a tax deduction which you will need to retain for tax purposes for the current financial year.

Please note we can only apply a tax deduction for one-off contributions. To claim a tax deduction for your savings plan contributions, you will need to submit a 'Notice of intent to claim or vary a deduction for personal super contributions' form. You will need to complete this each financial year if applicable.

## 6. Bank account details

If you are updating or providing new bank account details, you will need to provide a copy of your bank statement for verification purposes.

You can only nominate a bank account that is held in your name.

By providing your bank account details in this section, you accept the terms in the direct debit request service agreement and authorise us to use these details for all future transaction requests that you nominate.

### Bank account

Complete your bank account details in this section and indicate what you would like us to use these bank account details for

contributions
  savings plan
  withdrawals

name of financial institution

branch name

BSB  -  account number

account name

signature of account holder A  date  /  /  /

signature of account holder B  date  /  /  /

I request and authorise Perpetual Investment Management Limited, Debit User Identification Number 092646 to arrange for any amount Perpetual Investment Management Limited may debit or charge me to be debited through the Bulk Electronic Clearing System from an account held at the financial institution identified above, subject to the terms and conditions of the direct debit request service agreement which is available from [www.perpetual.com.au/wealthfocus-super-updates](http://www.perpetual.com.au/wealthfocus-super-updates).

## 7. Investment allocation

The way you initially allocated your money across investment options becomes your investment strategy. All future contributions will be allocated according to your investment strategy. If you want to update your investment strategy please complete below.

I would like to have my additional investment invested according to my existing investment strategy.  yes  no

If 'no' please complete the Investment Strategy column below. All future contributions will be allocated according to your investment strategy.

Investment options	short code	investment strategy %
<b>Cash</b>		
Perpetual Cash	PSCA	
<b>Fixed income</b>		
Perpetual Diversified Income	PISDIN	
Schroder Fixed Income	PISDAB	
Vanguard Australian Fixed Interest Index	PISVGY	
<b>Property securities and infrastructure securities</b>		
Lazard Global Listed Infrastructure	PISLGL	
Vanguard Australian Property Securities Index	PISVGP	
<b>Australian shares</b>		
Ausbil Australian Active Equity	PISUBA	
Fidelity Australian Equities	PISFID	
Investors Mutual Australian Share	PISIMA	
Perpetual Australian Share	PSAS	
Perpetual Concentrated Equity	PISCEF	
Perpetual ESG Australian Share	PISSRF	
Perpetual Geared Australian Share (max 50%)	PISGAF	
Perpetual Industrial Share	PSIS	
Perpetual SHARE-PLUS Long-Short	PISSPF	
Perpetual Smaller Companies	PSSC	
Vanguard Australian Shares Index	PISVGA	
<b>International shares</b>		
Barrow Hanley Global Share	PSIT	
MFS Global Equity	PISMFG	
Perpetual Global Allocation Alpha	PSSG	
T. Rowe Price Global Equity	PISTRP	
Vanguard International Shares Index	PISVIS	
Vanguard International Shares Index (Hedged)	PISVGI	
Vinva Global Alpha	PISAAI	

## 7. Investment allocation (continued)

Investment options	short code	investment strategy %
<b>Multi-asset – moderate</b>		
Perpetual Conservative Growth	PSCG	
<b>Multi-asset – balanced</b>		
Perpetual Diversified Growth	PSDG	
Perpetual Diversified Real Return	PISDRR	
<b>Multi-asset – growth</b>		
BlackRock Tactical Growth	PISUBB	
Perpetual Balanced Growth	PSBG	
<b>Total</b>		<b>100%</b>

1 The investment strategy applies to all your additional investments. If you make an additional investment it will be invested according to your investment strategy. If you request to have your investment auto rebalanced, it will be rebalanced according to your investment strategy. If you do not complete the investment strategy above, your investment strategy will be set according to the additional investments above, or any subsequent written instruction we receive from you.

## 8. Target Market Assessment

**To be completed only by a member who is investing into the Perpetual Geared Australian Share investment option and is a non-advised direct member (which is a member without a financial adviser). If this does not apply to you, please go to the next section.**

Please complete the following questions by ticking one box for each question. We are seeking this information in relation to our Design and Distribution Obligations (DDO) under the Corporations Act 2001 (Cth).

We do not use the information you provide us in this application form to consider your individual objectives, financial situation or needs, however your responses to the questions below will assist us in determining whether you are likely to be in the target market for this product. If you are not in the target market for the product, your application may be rejected.

<b>What is your primary investment objective?</b>	
Capital Growth (seeks to invest in a product designed or expected to generate capital returns over the investment timeframe, or otherwise seeks an investment return above the current inflation rate)	<input type="checkbox"/>
Capital Preservation (seeks to invest in a product designed or expected to have low volatility and minimise capital loss)	<input type="checkbox"/>
<b>What is the intended use of this product (% of investable assets)?</b>	
(Investable assets are those assets that the member has available for investment, excluding the residential home.)	
Standalone Solution (up to 100%)	<input type="checkbox"/>
Major allocation (up to 75%)	<input type="checkbox"/>
Core component (up to 50%)	<input type="checkbox"/>
Minor allocation (up to 25%)	<input type="checkbox"/>
Satellite allocation (up to 10%)	<input type="checkbox"/>
<b>What is your intended investment timeframe?</b>	
Short term (two years or less)	<input type="checkbox"/>
Medium term (more than 2 years but less than 5 years)	<input type="checkbox"/>
Medium to Long term (equal to 5 years or less than 7 years)	<input type="checkbox"/>

## 8. Target Market Assessment (continued)

**What is the risk and return profile for the relevant portion of your portfolio you are investing in this fund?**

(for this product only)

Low (seeks to minimise volatility and potential losses and comfortable with a low target return)

Medium (seeks low volatility and potential losses and comfortable with a moderate target return)

High (can accept higher volatility and potential losses in order to target a higher target return over a long timeframe)

Very high (can accept very high volatility and higher potential losses and seeks to maximise returns over a long timeframe)

Extremely high (can accept significant volatility and losses to seek accelerated returns potentially in a short timeframe)

## 9. Financial adviser use only

Financial adviser details

financial adviser name																															
phone																															
postal address																															
AFSL licensee name																AFSL number															
adviser number																															
dealer group																dealer branch															
email address																															
financial adviser signature																date	D	D	/	M	M	/	Y	Y	Y	Y					

